California Environmental Protection Agency Air Resources Board

CATERPILLAR INC.

EXECUTIVE ORDER U-R-001-0526 New Off-Road Compression-Ignition Engines

Pursuant to the authority vested in the Air Resources Board by Sections 43013, 43018, 43101, 43102, 43104 and 43105 of the Health and Safety Code; and

Pursuant to the authority vested in the undersigned by Sections 39515 and 39516 of the Health and Safety Code and Executive Order G-14-012;

IT IS ORDERED AND RESOLVED: That the following compression-ignition engines and emission control systems produced by the manufacturer are certified as described below for use in off-road equipment. Production engines shall be in all material respects the same as those for which certification is granted.

MODEL YEAR	ENGINE FAMILY	DISPLACEMENT (liters)	FUEL TYPE	USEFUL LIFE (hours)			
2017	HCPXL32.0HXF	32.0	Diesel	8000			
	FEATURES & EMISSION		TYPICAL EQUIPMENT APPLICATION				
	ic Direct Injection, Turboo Oxidation Catalyst, Engli Exhaust Gas Recirc	ne Control Module,	Loader, Tractor, Pump, Off-road Truck, Commercial Equipment				

The engine models and codes are attached.

The following are the exhaust certification standards (STD), or family emission limit(s) (FEL) as applicable, and certification levels (CERT) for hydrocarbon (HC), oxides of nitrogen (NOx), or non-methane hydrocarbon plus oxides of nitrogen (NMHC+NOx), carbon monoxide (CO), and particulate matter (PM) in grams per kilowatt-hour (g/kw-hr), and the opacity-of-smoke certification standards and certification levels in percent (%) during acceleration (Accel), lugging (Lug), and the peak value from either mode (Peak) for this engine family (Title 13, California Code of Regulations, (13 CCR) Section 2423):

RATED	EMISSION		EXHAUST (g/kw-hr)					OPACITY (%)		
POWER CLASS	STANDARD CATEGORY		NMHC	NOx	NMHC+NOx	co	PM	ACCEL	LUG	PEAK
ELSE > 560 kW	Tier 4 Final	STD	0.19	3.5	N/A	3.5	0.04	N/A	N/A	N/A
		FEL	N/A	3.2		N/A	N/A	N/A	N/A	N/A
		CERT	0.08	3.1		0.01	0.03			

BE IT FURTHER RESOLVED: That the family emission limit(s) (FEL) is an emission level declared by the manufacturer for use in any averaging, banking and trading program and in lieu of an emission standard for certification. It serves as the applicable emission standard for determining compliance of any engine within this engine family under 13 CCR Sections 2423 and 2427.

BE IT FURTHER RESOLVED: That for the listed engine models, the manufacturer has submitted the information and materials to demonstrate certification compliance with 13 CCR Section 2424 (emission control labels), and 13 CCR Sections 2425 and 2426 (emission control system warranty).

Engines certified under this Executive Order must conform to all applicable California emission regulations.

This Executive Order is only granted to the engine family and model-year listed above. Engines in this family that are produced for any other model-year are not covered by this Executive Order.

Executed at El Monte, California on this _____ day of November 2016.

Annette Hebert, Chief

Emissions Compliance, Automotive Regulations and Science Division

ATTACHMENTI OF

Engine Model Summary Template U-R-001-0526

8/22/2016 7.Fuel Rate: 8.Fuel Rate:

Engine Family	1.Engine Code	2.Engine Model	3.BHP@RPM (SAE Gross)	4.Fuel Rate: mm/stroke @ peak HP (for diesel only)	(lbs/hr) @ peak HP (for diesels only)	6.Torque @ RPM (SEA Gross)	mm/stroke@peak torque	o.Fuel Rate; (lbs/hr)@peak torgue	9.Emission Control Device Per SAE J1930
HCPXL32.0HXF	. Cert Test 1	C32	1124@1800	317	385	4044@1200	381	308	DFI,TC,ECM,CAC,EGR,OC
HCPXL32.0HXF	Cert Test 2	C32	1008@1800	287	348	3851@1200	365	295	DFI,TC,ECM,CAC,EGR,OC
HCPXL32.0HXF	1	C32	1008@1800	287	348	3851@1200	365	295	DFI,TC,ECM,CAC,EGR,OC
HCPXL32.0HXF	2	C32	896@1800	253	306	3470@1300	327	286	DFI,TC,ECM,CAC,EGR,OC
HCPXL32.0HXF	3	C32	884@1750	258	304	3461@1200	333	269	DFI,TC,ECM,CAC,EGR,OC
HCPXL32.0HXF	4	C32	921@1750	267	315	3504@1300	337	295	DFI,TC,ECM,CAC,EGR,OC
HCPXL32.0HXF	5	C32	1008@1800	287	348	3851@1200	365	295	DFI,TC,ECM,CAC,EGR,OC
HCPXL32.0HXF	6	C32	1008@1800	287	348	3851@1200	365	295	DFI,TC,ECM,CAC,EGR,OC.